OIPE	C. C.
MAR 0 8 2000	OFFICE 1
FRANCE TRADE	, ret

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	RECEIVED
)	MAR 1 4 2002
)	man 1 4 2002
)	Examiner: Not yet assigned <b>Technology Center 210</b> 0
) )	Art Unit: 2152
)	
)	
)	
	Cesari and McKenna, LLP
	88 Black Falcon Avenue
	Boston, MA 02210
	March 8, 2002

"Express Mail" Mailing-Label Number:

EL 705610878 US

Honorable Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

## **INFORMATION-DISCLOSURE STATEMENT**

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. It is understood that the Office may find additionally relevant material in these or other references.



Please charge any additional fee occasioned by this paper to our Deposit Account

No. 03-1237.

RECEIVED

MAR 1 4 2002

**Technology** Center 2100

Respectfully submitted,

Duane H. Dreger

Reg. No. 48,836

CESARI AND MCKENNA, LLP

88 Black Falcon Avenue Boston, MA 02210-2414

(617) 951-2500



PATENTS 112056-0007

Gp#2152

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Susan M. Coatney et al.	)
Serial No.: 10/027,457	) Examiner: Not yet assigned
Filed: December 21, 2001  For: SYSTEM AND METHOD OF IM- PLEMENTING DISK OWNERSHIP IN NETWORKED STORAGE	Art Unit: 2152 RECEIVED  MAR 1 4 2002  Technology Center 2100  Cesari and McKenna, LLP
	88 Black Falcon Avenue Boston, MA 02210 March 8, 2002

## **EXPRESS-MAIL DEPOSIT**

"Express Mail" Mailing-Label Number: EL 705610878 US

The following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10:

X Information Disclosure Statement X PTO-1449 and Copies of References

Prease type a plus sign (+) inside this box	<b>→</b>	+
---	----------	---

PTO	<b>D/SB/</b> (	) A8C	08-00)
 40/04/0000	0140	0054	

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO MFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known 10/027,457 **Application Number** Filing Date December 21, 2001 First Named Inventor Susan M. Coatney et al. **Group Art Unit** 2152

(use as many sheets as necessary)

**Examiner Name** Not yet assigned 112056-0007 Sheet of Attorney Docket Number Technology Cente

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	American National Standards Institute, Inc.; American National Standard for Information Technology; Fibre Channel- Physical and Signaling Interface (FC-PH); November 14, 1994.	
	2	American National Standards Institute, Inc.; American National Standard for Information Technology - Fibre Channel Arbitrated Loop (FC-AL-2); December 8, 1999.	
	3	SNIA Storage Networking Industry Association; Common Internet File System (CIFS), Version: CIFS-Spec. 0.9. Work Group in Progress.	
	4	Fibre Channel Generic Services - 3 (FC-GS-3), Revision 6.0; NCITS Working Draft Proposed American National Standard for Information Technology; November 24, 1999.	
	5	Draft Proposed American National Standard for Information Systems - SCSI-3 Primary Commands; March 28, 1997.	
	6	New Identifier Formats Based on IEEE Registration; http://standards.ieee.org/regauth/oui/tutorials/fibreformat.html; Accessed on August 6, 2001.	

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.